

17707 U.S. PTO
08/29/03

PATENT
Docket No.

Tian - 1

27550
PATENT TRADEMARK OFFICE

22278 U.S. PTO
10/654558
08/29/03

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventor(s): Kevin Tian

For (title): EARRING FOR PIERCED EARS AND FOR NON-PIERCED EARS

1. Type of Application

This new application is for a(n):

<input checked="" type="checkbox"/>	Original
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Check one of the following if applicable:

<input type="checkbox"/>	Divisional
<input type="checkbox"/>	Continuation
<input type="checkbox"/>	Continuation-in-part (CIP)

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular)
or 37 CFR 1.153 (Design) Application

4 Pages of specification

4 Pages of claims

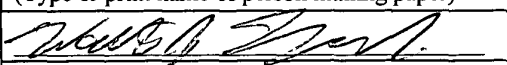
1 Page of Abstract

4 Sheets of drawing

☒ formal
☐ informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 8/29/03 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV 221488955US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Walter J. Tencza Jr.
(Type or print name of person mailing paper)

(Signature of person mailing paper)

3. Additional papers enclosed

- ☐ Information Disclosure Statement (37 CFR 1.98)
☐ Form PTO-1449

4. Declaration or oath

- ☒ Enclosed
 executed by (check all applicable boxes)
☒ inventor(s).
☐ Not Enclosed.

5. Inventorship Statement

The Inventorship for all the claims in this application are:

- ☒ The same

6. Language

- ☒ English
☐ non-English
☐ the attached translation is a verified translation. 37 CFR 1.52(d).

7. Assignment

- ☐ An assignment of the invention
☐ is not attached. A separate O FORM PTO 1595 is also attached.
☐ will follow.

8. Certified Copy

Certified Copy (ies) of application(s)

(country)	(appl. no.)	(filed)

from which priority is claimed

- ☐ is attached.
☐ will follow.

9. Fee Calculation (37 CFR 1.16)

☐ Regular application

CLAIMS AS FILED

Number filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$750.00
Total Claims (37 CFR 1.16(c)) 20 - 20=	0 X	\$18.00	\$0
Independent Claims (37 CFR 1.16(b)) 3 - 3=	0 X	\$84.00	\$0
Multiple dependent claim(s), if any (37 CFR 1.16(d)) 0	0 X	\$250.00	\$0

Filing Fee Calculation \$750.00

10. Small Entity Statement(s)

- ☒ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.
- ☒ Filing Fee Calculation (50% of above) \$375.00

11. Fee Payment Being Made At This Time

☐ Not Enclosed

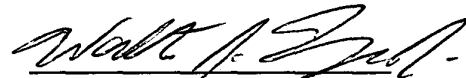
☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ Basic Filing Fee \$375.00
☐ recording assignment \$ 0.00

12. Method of Payment of Fees

- ☒ Credit card payment form in the amount of \$375.00 for filing fee.
- ☒ Charge Account No. 20-0437 for any deficiency.



SIGNATURE OF ATTORNEY

Reg. No. 35,708

Tel. No. (732) 549-3007

Walter J. Tencza, Jr., Esq.

10 Station Place, Suite 3

Metuchen, N.J. 08840

Title: EARRING FOR PIERCED EARS AND FOR NON-PIERCED EARS

Inventor(s): Kevin Tian

Attorney: Walter J. Tencza Jr.
732-549-3007
10 Station Place, Suite 3
Metuchen, N.J. 08840

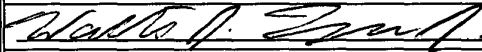
Pages of specification: 4
Pages of claims: 4
Page of Abstract: 1
Sheets of formal drawings: 4

CERTIFICATION UNDER 37 CFR 1.10

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Walter J. Tencza Jr.

(Type or print name of person mailing paper)



(Signature of person mailing paper)

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b)) -- INDEPENDENT INVENTOR**

Applicant(s) or Patentee(s): Kevin Tian

Serial or Patent No.:

Filed or Issued:

Title: EARRING FOR PIERCED EARS AND FOR NON-PIERCED EARS

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists
- ☐ Each such person, concern, or organization is listed below:

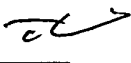
Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Kevin Tian

INVENTOR

Kevin 

Signature

9/22/03

Date